

SIMPLE IRA TRANSFER OF ASSETS FORM



1-800-332-5580
P.O. Box 9763, Providence, RI 02940-9763
Overnight Mail: 4400 Computer Drive
Westborough, MA 01581

Use this form to request a transfer of SIMPLE Individual Retirement Account (SIMPLE IRA) assets held with another custodian to your Sit Mutual Funds SIMPLE IRA. Based on your instructions, BNY Mellon Investment Servicing Trust will initiate the transfer for you. If you are over age 70½, you are responsible for distributing any required minimum distribution amounts from your current SIMPLE IRA in advance of the transfer. Please remember the transfer of assets can only occur between SIMPLE IRA accounts. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 1-800-332-5580.

PARTICIPANT INFORMATION

Name: _____ Daytime Telephone: () _____

Address: _____

City: _____ State: _____ Zip Code: _____

Social Security Number: _____ Date of Birth: _____

INVESTMENT INSTRUCTIONS

Complete items A and B.

- A. I am opening a new SIMPLE IRA and have attached the required SIMPLE IRA Application.
 Deposit the proceeds into my existing SIMPLE IRA. Account Number: _____

B. Invest as follows.

If you do not open your SIMPLE IRA with \$2,000, you can select only ONE fund. After that fund reaches \$2,000, you may invest in another fund, and so on.

Fund Name: _____	Dollar Amount \$ _____	or	Percentage _____	% _____
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Must equal 100%

CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Please attach your most recent statement, if possible. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

Name of current custodian: _____

Address: _____

City: _____ State: _____ Zip code: _____

Contact name: _____ Telephone number: () _____

1) Investment to transfer:

Account number: _____ Share class: _____ CUSIP number: _____

Liquidate Entire Account Partial Dollar Amount \$ _____ or # of Shares _____

For Certificate of Deposits: Immediately* At Maturity Date _____

Continued on next page.

2) Investment to transfer:

Account number:

Share class:

CUSIP number:

Liquidate Entire Account Partial Dollar Amount \$ _____ or # of Shares _____

For Certificate of Deposits: Immediately* At Maturity Date _____

***Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

PARTICIPANT AUTHORIZATION

I authorize the transfer of assets as noted above to my Sit Mutual Funds SIMPLE IRA and authorize my current custodian, Sit Mutual Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature:

Date:

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Mail to the following:

First Class Mail:
Sit Mutual Funds
P.O. Box 9763
Providence, RI 02940-9763

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4400 Computer Drive
Westborough, MA 01581

Questions? Call us at 1-800-332-5580