



Request for IRA Recharacterization

For assistance, please contact an Investor Services Representative at 800-332-5580 or 612-334-5888.

1. Information About You

Name: First, Middle Initial, Last Social Security Number
Daytime Telephone Number Evening Telephone Number

2. Recharacterization Instructions

Please tell us what you would like done. Check only one box.

Recharacterize my Roth Conversion Contribution into my Traditional IRA.

My Roth IRA mutual fund and account number:
Date conversion contribution was made:
Number of shares purchased by the conversion contribution:
How much of this conversion contribution do you want recharacterized into your Traditional IRA?
What is the account number of your Traditional IRA?

Recharacterize my Roth IRA Contribution into my Traditional IRA.

My Roth IRA mutual fund and account number:
Date Roth IRA contribution was made:
Number of shares purchased:
How much of this contribution do you want recharacterized into your Traditional IRA?
What is the account number of your Traditional IRA?

NOTE: Your assets will remain in the same fund that you own now when they are recharacterized.

Recharacterize my Traditional IRA Contribution into my Roth IRA.

My Traditional IRA mutual fund and account number:
Date Traditional IRA contribution was made:
Number of shares purchased:
How much of this contribution do you want recharacterized into your Roth IRA?
What is the account number of your Roth IRA?

NOTE: Your assets will remain in the same fund that you own now when they are recharacterized.

3. Please Note:

Your assets will remain in the same fund that you own now when they are recharacterized. If you do not have an existing Roth or Traditional IRA, you must complete the appropriate application. Earnings associated with the recharacterization are calculated according to Internal Revenue Service (IRS) regulations, not actual earnings since the time of deposit. All transactions are reportable to the IRS.

4. Authorization

I understand that I am responsible for determining my eligibility to recharacterize within the limits set forth by tax laws, related regulations and plan agreements. Due to the important tax consequences of recharacterizing funds or property, I have been advised to consult a tax professional. All information provided by me above is true and correct. I assume full responsibility for this transaction and will hold neither the Custodian (PFPC Trust Company) nor Sit Mutual Funds liable for any adverse tax consequences that may result.

I instruct Sit Mutual Funds to recharacterize the above IRA contribution(s) and earnings according to the above information.

Please Sign Here: Signature Date

Mail this form to: Sit Mutual P. O. Box 9763 Providence, RI 02940